

## MODULAR JORDAN TYPE FOR $\mathbb{k}[x, y]/(x^m, y^n)$ FOR $m = 3, 4$

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ABSTRACT. A sufficient condition for an Artinian complete intersection quotient  $S = \mathbb{k}[x, y]/(x^m, y^n)$ , where  $\mathbb{k}$  is an algebraically closed field of a prime characteristic, to have the strong Lefschetz property (SLP) was proved by S. B. Glasby, C. E. Praezer, and B. Xia in [3]. In contrast, we find a necessary and sufficient condition on  $m, n$  satisfying  $3 \leq m \leq n$  and  $p > 2m - 3$  for  $S$  to fail to have the SLP. Moreover we find the Jordan types for  $S$  failing to have SLP for  $m \leq n$  and  $m = 3, 4$ .

### 1. Introduction

Let  $R = \mathbb{k}[x_1, \dots, x_r] = \bigoplus_{i \geq 0} R_i$  be an  $r$ -variable polynomial ring over an algebraically closed field  $\mathbb{k}$  of any characteristic, and let  $A := R/I$ , where  $I$  is a homogeneous ideal of  $R$ . The *Hilbert function* of  $A$ ,  $\mathbf{H}_A : \mathbb{N} \rightarrow \mathbb{N}$ , is defined by

$$\mathbf{H}_A(t) := \dim_{\mathbb{k}} R_t - \dim_{\mathbb{k}} I_t$$

for  $t \geq 0$ . If  $I$  is a homogeneous ideal with  $\sqrt{I} = (x_1, \dots, x_r)$ , and  $c + 1$  is the least positive integer such that  $(x_1, \dots, x_r)^{c+1} \subseteq I$ , then

$$A = \mathbb{k} \oplus A_1 \oplus \dots \oplus A_c \quad \text{where } A_c \neq 0.$$

In this case, we call  $c$  the *socle degree* of  $A$ . For the Artinian graded ring  $A$ , the Hilbert function of  $A$  can be expressed as a vector

$$(h_0, h_1, \dots, h_c) := (\mathbf{H}_A(0), \mathbf{H}_A(1), \dots, \mathbf{H}_A(c)).$$

The Hilbert function  $(h_0, h_1, \dots, h_c)$  of  $A$  is *unimodal* if the vector  $(h_0, h_1, \dots, h_c)$  has only one local maximum, i.e.,

$$h_0 \leq h_1 \leq \dots \leq h_t = \dots = h_s \geq h_{s+1} \geq \dots \geq h_c.$$

We say that the vector  $(h_0, h_1, \dots, h_c)$  is *symmetric* if

$$h_i = h_{c-i} \quad \text{for } i = 0, 1, \dots, \lfloor \frac{c}{2} \rfloor.$$

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Let  $\ell$  be a general enough linear form. We say that  $A$  has the *weak Lefschetz property* (WLP) if the homomorphism induced by multiplication by  $\ell$ ,

$$\times \ell : A_i \rightarrow A_{i+1},$$

has maximal rank for all  $i$  (i.e., it is injective or surjective for each  $i$ ). We say that  $A$  has the *strong Lefschetz property* (SLP) if

$$\times \ell^d : A_i \rightarrow A_{i+d}$$

has maximal rank for all  $i$  and  $d$  (i.e., it is injective or surjective for each  $i$  and  $d$ ). In this case, we call a linear form  $\ell$  the *strong Lefschetz element* of  $A$ .

There is a way to characterize if an Artinian ring has the WLP or SLP based on Jordan type (see [5, 11]). Here the Jordan type  $J_{\ell, M}$  of  $\ell \in \mathfrak{m}$  acting on an  $A$ -module  $M$  is the partition,  $\lambda = (\lambda_1, \dots, \lambda_t)$  with  $\lambda_1 \geq \dots \geq \lambda_t$ , giving the Jordan blocks of the multiplication map  $\times \ell : M \rightarrow M$  ([9]). In particular, the *generic Jordan type* of  $A$  is the Jordan type of  $A$  for a general enough linear form  $\ell$ . We introduce an important tool to verify if an Artinian ring has the WLP or SLP.

**Lemma 1.1** ([5, Remark 3.63 and Proposition 3.64]). *Assume that the Artinian algebra  $A$  is standard-graded ( $A$  is generated by  $A_1$ ) and that  $H_A$  is unimodal. Then*

- (1) *The pair  $(A, \ell)$  has the weak Lefschetz property if and only if the number of parts of the Jordan type  $J_{\ell, A} = \max_i \{H_A(i)\}$ . (The Sperner number of  $A$ );*
- (2)  *$\ell$  is a strong Lefschetz element of  $A$  if and only if  $J_{\ell, A} = H_A^\vee$ , where  $H_S^\vee$  is the conjugate of  $H_S$  (exchange rows and columns in the Ferrers diagram of  $H_S$ ).*

Let  $S := \mathbb{k}[x, y]/(x^m, y^n)$ . When  $m \leq n$ ,  $H_S = (1, 2, \dots, m-1, m, \dots, m_{n-1}, m-1, \dots, 2, 1)$ . In characteristic 0, the Jordan type  $J_{\ell, S} = (\lambda_1, \dots, \lambda_m)$  was shown to be the standard partition, i.e.,

$$(1.1) \quad J_{\ell, S} = (m+n-1, \dots, m+n-2i+1, \dots, n-m+1)$$

in 1934 by A. C. Aitken [1], in 1934 by W. E. Roth [16], and in 1936 by D. E. Littlewood [12], independently. When the characteristic of  $\mathbb{k}$  is a prime  $p$ , the resulting formulas for  $J_{\ell, S}$  were studied in 1954 by D. G. Higman [7], then in 1962 by J. A. Green [4], and in 1964 by B. Srinivasan [17]. In particular, B. Srinivasan proved that the Jordan type  $J_{\ell, S} = (\lambda_1, \dots, \lambda_m)$  is the standard partition if the characteristic of  $\mathbb{k}$  is  $p > m+n-2$ , and J.A. Green discussed the representation ring over  $\mathbb{Z}_p$ . The paper [17] seems to be the first paper emphasizing the characteristic  $p$  results in the present formulation related to the Clebsch-Gordan formula.

The WLP and SLP are strongly connected to many topics in algebraic geometry, commutative algebra, combinatorics, and representation theory. In 1980, R. Stanley showed in [18] using a topological method - the hard Lefschetz

property - that if  $\mathbb{k}$  is a field of characteristic 0 or greater than the socle degree of  $A := \mathbb{k}[x_1, \dots, x_r]/(x_1^{a_1}, \dots, x_r^{a_r})$ , then the Artinian complete intersection quotient  $A$  has the SLP. In 1987, J. Watanabe proved this again using the language ‘representation theory’ [19]. In [13], S. Lundqvist and L. Nicklasson find a necessary and sufficient condition of the SLP when the number of variables is  $\geq 3$ . In 2013 J. Migliore and U. Nagel surveyed recent works about Lefschetz properties [14]. Also in 2013, the book [5] by J. Watanabe et al. provided a comprehensive exploration of the Lefschetz properties from a different perspective, focusing on representation theory and combinatorial connections as well as commutative algebra methods. In 2018, A. Iarrobino, P. Marques, and C. McDaniel [9] explored a general invariant of an Artinian Gorenstein algebra  $A$ , or  $A$ -module  $M$ , which is the set of Jordan types of elements of the maximal ideal  $\mathfrak{m}$  of  $A$ .

The generic Jordan type of a graded Artinian algebra  $A$  is that determined by a general enough element  $\ell$  of  $A_1$ . For  $S = \mathbb{k}[x, y]/(x^m, y^n)$  we may take  $\ell = x + y$ , so the Jordan type of  $S$  is the partition of  $mn$  giving the Jordan block decomposition of the multiplication by  $\ell$ ; this depends on the characteristic of  $\mathbb{k}$ .

When the characteristic of  $\mathbb{k}$  is 0 or greater than or equal to  $m + n$ , the partitions are the Clebsch-Gordan formulas of invariant theory [8], which have many applications in physics and have been rediscovered or surveyed frequently ([1, 17], see also [6, Theorem 3.9] on Lefschetz properties of Artin algebras). The significance in representation theory is that each factor  $\mathbb{k}[x]/(x^m)$  and  $\mathbb{k}[y]/(y^n)$  is an irreducible representation of the Lie algebra  $\mathfrak{sl}_2$ , and that the Clebsch-Gordan formula (equation (1.1) above) of invariant theory [8] gives the decomposition of the tensor product into irreducible representations ([5, Section 3]).

The papers S. B. Glasby et al. [3] and K. I. Iima et al. [10] have obtained a very nice result in the direction of recursion formulas for the Jordan type  $J_{\ell, S}$  in  $(m, n)$  for a fixed prime  $p$ . There are approaches to this problem from different directions and the S. B. Glasby et al. paper [3], and briefly in Section 3.2 of A. Iarrobino et al. [9] include some survey of the previous characteristic  $p$  Clebsch-Gordan results. Moreover, S.B. Glasby et al proved that if  $m \leq n$ , and  $n \not\equiv 0, \pm 1, \dots, \pm(m-2) \pmod{p}$ , then the Jordan type  $J_{\ell, S} = (\lambda_1, \dots, \lambda_m)$  of  $mn$ , where  $\lambda_1 \geq \dots \geq \lambda_m$  is the standard partition of equation (1.1), whose  $i$ -th part is  $\lambda_i = m + n - 2i + 1$  for  $1 \leq i \leq m$ . By Lemma 1.1, this is equivalent to  $S$  having the SLP for such  $m$  and  $n$ .

Recall that  $S := \mathbb{k}[x, y]/(x^m, y^n) = \mathbb{k}[x]/(x^m) \otimes \mathbb{k}[y]/(y^n)$  for  $m \leq n$ , where  $\mathbb{k}$  is an algebraically closed field of positive characteristic  $p$ . In this paper, we explore not only the Lefschetz property but also the Jordan type for  $S$ . We also study modular representations of finite cyclic  $p$  groups. Given two indecomposable modules  $V(m-1)$  and  $V(n-1)$  of a cyclic group order  $p^s$ , the Krull-Schmidt theorem implies that  $V(m-1) \otimes V(n-1)$  is a sum of  $m$  indecomposable modules  $V(\lambda_1-1) \oplus \dots \oplus V(\lambda_m-1)$ . This is shown in [3, Lemma

9] and implies by Lemma 1.1 that  $S$  has (always) the WLP. Then there are forms  $f_1, f_2, \dots, f_m$  such that  $\deg f_i = i - 1$  for  $0 \leq i \leq m - 1$ , and

$$f_i \mapsto f_i \ell \mapsto \dots \mapsto f_i \ell^{\lambda_i - 1}$$

is a *string* of length  $\lambda_i$ . In other words, the ring  $S$  can be decomposed into irreducible  $\mathfrak{sl}_2$ -modules as

$$S := V(\lambda_1 - 1) \oplus \dots \oplus V(\lambda_m - 1).$$

Suppose that either  $3 \leq m \leq n$  and  $p > 2m - 3$  or  $3 \leq m < n$  and  $p \geq 2m - 3$ . In this paper, we show that if  $n \equiv 0, \pm 1, \dots, \pm(m - 2) \pmod{p}$ , then the Jordan type for  $S$  is not the standard partition, i.e.,  $S$  fails to have the SLP for such  $m$  and  $n$  (see Theorem 2.5). This result has an important role to find the Jordan type for  $S$  with  $m = 3, 4$ . In Section 2, we prove a necessary and sufficient condition on  $m$  and  $n$  that  $S$  fails to have the SLP (see Corollary 2.6). In Section 3, we find other conditions that  $S$  fails to have the SLP for  $m \leq n$  and  $m = 3, 4$ . We also find the Jordan type for  $S$  for such  $m$  and  $n$  in Section 4. These results in Section 4 for  $m = 3, 4$  are the same as the works in [3], but they [3] found the Jordan type for these rings using the representation theory of algebraic group. More precisely, they used new periodicity and duality result for  $J_{\ell, S}$  that depend on the smallest  $p$ -power exceeding  $m$ . In addition, in [10], K.I Iima and R. Iwamatsu found a recursive formula how to find the Jordan type for  $S$ . But, in this paper, we give a more direct proof in Section 4 without any recursive formula in [10] or any results in [3].

We are posting some calculations in the proofs of Theorems 4.4, 4.5, and 4.6 to Arxiv to make this paper shorter (see modular jordan type-full.pdf).

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## 2. A necessary and sufficient condition that $\mathbb{k}[x, y]/(x^m, y^n)$ fails to have the SLP

In this section, we find a necessary and sufficient condition for  $S$  to fail to have the SLP when  $3 \leq m \leq n$  and  $p > 2m - 3$  or  $3 \leq m < n$  and  $p \geq 2m - 3$ . In [15, Theorem 3.2], L. Nicklasson also find a necessary and sufficient condition of the SLP for  $S$  using the base  $p$  expansions of  $m, n$ .

We now recall the sufficient condition for  $S$  to have the SLP from [3].

**Theorem 2.1** ([3, Theorem 2]). *Let  $S := \mathbb{k}[x, y]/(x^m, y^n)$  with  $\text{char } \mathbb{k} = p > 0$ . If  $0 < m \leq n$  and  $n \not\equiv 0, \pm 1, \dots, \pm(m - 2) \pmod{p}$ , then  $S$  has the SLP.*

We shall show that if  $p > 2m - 3$  and  $n \equiv 0, \pm 1, \dots, \pm(m - 2) \pmod{p}$ , then  $S$  fails to have the SLP. We first need the following two lemmas.

**Lemma 2.2.** *Suppose that  $3 \leq m \leq n$  and  $p$  is a prime with  $p > m - 1$ . If  $n \equiv -k \pmod{p}$  with  $0 \leq k \leq m - 2$ , then*

$$\binom{n+m-2}{m-1} \equiv 0 \pmod{p}.$$

*Proof.* By the assumption,  $(m-1)! \not\equiv 0 \pmod{p}$  and  $n+m-2 > m-1$ . Since  $n+k \equiv 0 \pmod{p}$ , we have

$$\binom{n+m-2}{m-1} = \frac{(n+(m-2))(n+(m-3)) \cdots (n+k) \cdots (n+1)n}{(m-1)!} \equiv 0 \pmod{p},$$

as we wished.  $\square$

**Lemma 2.3.** *Let  $p$  be a prime. Suppose that either  $3 \leq m \leq n$  and  $2m-3 < p$  or  $3 \leq m < n$  and  $2m-3 \leq p$ . If  $n \equiv k \pmod{p}$  with  $k = 1, 2, \dots, m-2$ , then the following hold.*

(a) *For any  $1 \leq \alpha \leq k$  and  $\alpha \leq \beta \leq \min\{k, n+\alpha-k-1\}$  with  $m-k-\alpha+\beta < p$ ,*

$$\binom{n+m-2k-1}{m-k-\alpha+\beta} \equiv 0 \pmod{p}.$$

(b)

$$\binom{n+m-2k-1}{m-k-1} \not\equiv 0 \pmod{p}.$$

*Proof.* First note that, with given conditions,

$$n+m-2k-1 = (n-k) + (m-k) - 1 \equiv m-k-1 \not\equiv 0 \pmod{p}.$$

(a) For  $1 \leq \alpha \leq k$  and  $\alpha \leq \beta \leq \min\{k, n+\alpha-k-1\}$ , since  $m-k-\alpha+\beta < p$ , we get that

$$(m-k-\alpha+\beta)! \not\equiv 0 \pmod{p}.$$

Moreover, note that

$$n+m-2k-1 = (n-k) + (m-k-1) > n-k \equiv 0 \pmod{p}, \quad \text{and} \\ n-k+\alpha-\beta \leq n-k.$$

This shows that

$$n+m-2k-1 > p > m-k-\alpha+\beta,$$

and thus

$$\binom{n+m-2k-1}{m-k-\alpha+\beta} = \frac{(n+m-2k-1)(n+m-2k-2) \cdots (n-k+\alpha-\beta)}{(m-k-\alpha+\beta)!} \\ \equiv 0 \pmod{p}.$$

(b) Note that  $m-k-1 < p$  and

$$n+m-2k-1 = (n-k) + (m-k-1) > m-k-1.$$

Since  $1 \leq k \leq m-2$ , for any  $\gamma = 0, 1, \dots, m-k-2$ , we have

$$n+m-2k-1-\gamma = (n-k) + (m-k-1) - \gamma$$

$$\equiv (m - k - 1) - \gamma \not\equiv 0 \pmod{p}.$$

This shows that

$$\binom{n + m - 2k - 1}{m - k - 1} = \frac{(n + m - 2k - 1)(n + m - 2k - 2) \cdots (n - k + 1)}{(m - k - 1)!} \not\equiv 0 \pmod{p}.$$

This completes the proof.  $\square$

*Remark 2.4.* If  $m = n = 3$ ,  $k = 1$ , and  $p = 2m - 3 = 3$ , then the formula of Lemma 2.3(b) is not satisfied. Indeed,

$$\binom{n + m - 2k - 1}{m - k - 1} = \binom{3}{1} \equiv 0 \pmod{3}.$$

**Theorem 2.5.** *Let  $S = \mathbb{k}[x, y]/(x^m, y^n)$ , where  $\mathbb{k}$  is a field of a prime characteristic  $p$ . Suppose that either  $3 \leq m \leq n$  and  $p > 2m - 3$  or  $3 \leq m < n$  and  $p \geq 2m - 3$ . If  $n \equiv 0, \pm 1, \dots, \pm(m - 2) \pmod{p}$ , then  $S$  fails to have the SLP.*

*Proof.* First, note that since  $n + m - 2 > n \geq m$ , both of  $x$  and  $y$  cannot be an SLP element for  $S$ . Thus it is enough to show that any linear form  $\ell := x + y$  cannot be an SLP element of  $S$ .

(i) Suppose that  $n \equiv -k \pmod{p}$  with  $0 \leq k \leq m - 2$ . By Lemma 2.2, we have

$$(x + y)^{n+m-2} = \binom{n + m - 2}{m - 1} x^{m-1} y^{n-1} = 0.$$

Hence the first (largest) component of the Jordan type  $J_{\ell, S}$  is  $\leq n + m - 2$ , i.e., the Jordan type  $J_{\ell, S}$  is of the form

$$J_{\ell, S} = (\leq n + m - 2, \dots),$$

and thus  $S$  fails to have the SLP.

(ii) Now suppose that  $n \equiv k \pmod{p}$  with  $1 \leq k \leq m - 2$ . We shall show that the  $(k + 1)$ -st component of  $J_{\ell, S}$  cannot be  $n + m - 2k - 1$ . Let

$$P_k := b_0 x^k + b_1 x^{k-1} y + \cdots + b_{k-1} x y^{k-1} + b_k y^k$$

be a nonzero form of degree  $k$  in  $\mathbb{k}[x, y]$ . Let  $i$  be the smallest integer with  $b_i \neq 0$ , i.e.,  $P_k = b_i x^{k-i} y^i + \cdots + b_{k-1} x y^{k-1} + b_k y^k$ . Since  $x^m = 0$ ,  $y^n = 0$  in  $S$ , we have

$$\begin{aligned} & P_k \cdot (x + y)^{n+m-2k-1} \\ &= \left[ b_i x^{k-i} y^i + b_{i+1} x^{k-i-1} y^{i+1} + \cdots + b_{k-1} x y^{k-1} + b_k y^k \right] \cdot (x + y)^{n+m-2k-1} \\ &= \sum_{\alpha=1}^k \left( \sum_{\beta=u(\alpha)}^{v(\alpha)} b_{\beta} \binom{n + m - 2k - 1}{m - \alpha - k + \beta} \right) x^{m-\alpha} y^{n+\alpha-k-1}, \end{aligned}$$

where  $u(\alpha) = \max\{i, -m + \alpha + k\}$ , and  $v(\alpha) = \min\{k, n + \alpha - k - 1\}$ . Now consider the coefficient of  $x^{m-(i+1)}y^{n+i-k}$  in  $P_k \cdot (x+y)^{n+m-2k-1}$ . Since

$$u(i+1) = \max\{i, -m + (i+1) + k\} = i, \quad \text{and}$$

$$v(i+1) = \min\{k, n + (i+1) - k - 1\} \geq i,$$

we get that by Lemma 2.3, the coefficient is

$$\sum_{\beta=i}^{v(i+1)} b_{\beta} \binom{n+m-2k-1}{m-k-(i+1)+\beta} = b_i \binom{n+m-2k-1}{m-k-1} \not\equiv 0 \pmod{p}.$$

(Here, note that  $m-k-(i+1)+\beta < p$  for any  $i \leq \beta \leq \min\{k, n+i-k\}$ .)

Hence

$$P_k \cdot (x+y)^{n+m-2k-1} \neq 0.$$

This shows that for a linear form  $\ell \in R$ , the Jordan type  $J_{\ell, S}$  cannot be of the form

$$(\dots, n+m-(2k+1), \dots)^{(k+1)\text{-st}}.$$

Thus  $S$  fails to have the SLP.

This completes the proof.  $\square$

If we couple Theorem 2.5 with Theorem 2.1, we obtain the following corollary.

**Corollary 2.6.** *Let  $S = \mathbb{k}[x, y]/(x^m, y^n)$  with  $3 \leq m \leq n$  and  $p > 2m - 3$ . Then a necessary and sufficient condition that  $S$  fails to have the SLP is  $n \equiv 0, \pm 1, \dots, \pm(m-2) \pmod{p}$ .*

### 3. Other conditions that $\mathbb{k}[x, y]/(x^m, y^n)$ fails to have the SLP

In Section 2, we determined when  $S = \mathbb{k}[x, y]/(x^m, y^n)$  fails to have SLP for  $3 \leq m \leq n$  and  $p > 2m - 3$ . In this section we consider the remaining cases when  $m = 3$  or  $m = 4$ . Assume  $m = 3, 4$  and  $m \leq n$ . Then we show that  $S = \mathbb{k}[x, y]/(x^m, y^n)$  fails the SLP as summarized in the follow table:

Theorem	$m$	$p$	$S$ fails the SLP
Theorem 3.2	3	2	$n \equiv 0, \pm 1 \pmod{4}$
Proposition 3.3	3	3	always
Theorem 3.4	3	$p \geq 3$	$n \equiv 0, \pm 1 \pmod{p}$
Theorem 3.5	4	2	always
Theorem 3.6	4	3	$n \not\equiv \pm 4 \pmod{9}$
Lemma 3.7	4	5	$n \geq 4$
Theorem 3.8	4	$p \geq 7$	$n \equiv 0, \pm 1, \pm 2 \pmod{p}$

*Remark 3.1.* Recall  $S := \mathbb{k}[x, y]/(x^m, y^n)$  with  $m \leq n$ . As we mentioned in the introduction, for a linear form  $\ell = x + y$ , the Jordan type  $J_{\ell, S}$  is of the

form  $(\lambda_1, \dots, \lambda_m)$  where  $\lambda_1 + \dots + \lambda_m = mn$ . In this case there are forms  $f_1, f_2, \dots, f_m$  such that  $\deg f_i = i - 1$  for  $0 \leq i \leq m - 1$ , and

$$f_i \mapsto f_i \ell \mapsto \dots \mapsto f_i \ell^{\lambda_i - 1}$$

is a string of length  $\lambda_i$ . In other words, the ring  $S$  has the  $\mathfrak{sl}_2$ -module decomposition as follows.

$$S = \mathbb{k}[x, y]/(x^m, y^n) = \bigoplus_{i=1}^m V(\lambda_i - 1),$$

where  $V(\lambda_i - 1)$  is a  $\lambda_i$ -dimensional irreducible  $\mathfrak{sl}_2$ -module for each  $i$ .

Recall that the Hilbert function of  $S$  is

$$H_S(i) = \min\{i + 1, m + n - 1 - i\}, \quad \text{for } i \geq 0.$$

In order for  $S$  to have the SLP we need that for each  $i$  satisfying  $0 \leq i \leq m + n - 2$  the following sets are linearly independent

$$(3.1) \quad \begin{cases} \{f_1 \ell^i, f_2 \ell^{i-1}, \dots, f_i \ell, f_{i+1}\} \\ \text{for } 0 \leq i \leq m - 1, \\ \{f_1 \ell^i, f_2 \ell^{i-1}, \dots, f_{m-1} \ell^{i-(m-2)}, f_m \ell^{i-(m-1)}\} \\ \text{for } m \leq i \leq n - 1, \\ \{f_1 \ell^i, f_2 \ell^{i-1}, \dots, f_{m+n-2-i} \ell^{2i+3-m-n}, f_{m+n-1-i} \ell^{2i+2-m-n}\} \\ \text{for } n \leq i \leq m + n - 2. \end{cases}$$

However, if  $S$  fails to have the SLP, we have to find the different linearly independent sets for each degree- $i$  based on Jordan type  $J_{\ell, S} = (\lambda_1, \dots, \lambda_m)$ . Fortunately, it is not hard to prove that those sets are linearly independent for  $0 \leq i \leq m + n - 2$ . We shall omit the proof for the linear independence of the sets in general except for a few of cases (e.g., the proof of Theorem 3.6) in the rest of this paper.

### 3.1. $\text{char } \mathbb{k} \geq 2$ and $m = 3$

Theorem 3.2 is known by [2], and we give a different proof based on the Jordan type argument. We also investigate Jordan type when the ring  $S = \mathbb{k}[x, y]/(x^3, y^n)$  fails to have the SLP for  $n \geq 3$ , i.e., it has only the WLP. Recall that if  $S$  has the SLP for a Lefschetz element  $\ell$ , then the Jordan type  $J_{\ell, S}$  for  $S$  is  $(n + 2, n, n - 2)$  (see Lemma 1.1).

**Theorem 3.2** ( $\text{char } \mathbb{k} = 2$ ). *Let  $S := \mathbb{k}[x, y]/(x^3, y^n)$  with  $\text{char } \mathbb{k} = 2$  and  $n \geq 3$ . Then  $S$  has the SLP if and only if  $n = 2k$ , where  $k$  is an odd positive integer with  $k \geq 3$ . In other words,  $S$  fails to have the SLP for  $n \equiv 0, \pm 1 \pmod{4}$ .*

*Proof.* By a computer calculation, one can show that for  $3 \leq n \leq 5$ ,  $S$  does not have the SLP.

Now consider the case  $(3, n)$  with  $n \geq 6$ . Then the socle degree of  $R/(x^3, y^n)$  is  $n + 1$ . Note that we have only three kind of linear forms, namely,

$$x, y, x + y.$$

But the strings from  $x$  and  $y$  are

$$\begin{aligned} 1 &\mapsto x \mapsto x^2, & \text{and} \\ 1 &\mapsto y \mapsto y^2 \mapsto \cdots \mapsto y^{n-1}. \end{aligned}$$

These two forms do not give a string of length  $(n + 2)$ . Furthermore, the linear form  $\ell = x + y$  satisfies

$$(x + y)^{n+1} = \binom{n+1}{2} x^2 y^{n-1}.$$

(i) If  $4 \mid n$  or  $4 \mid (n + 1)$ , then  $x + y$  cannot give a string of length  $(n + 2)$ . Thus  $R/(x^3, y^n)$  does not have the SLP.

(ii) We now assume that  $4 \nmid n$  and  $4 \nmid (n + 1)$ .

- Let  $n$  be an odd. Since  $4 \nmid (n + 1)$ , we get that  $n = 4k + 1$  for some  $k \geq 2$ . So  $4 \mid (n - 1)$ .

$$x(x + y)^n = x \cdot \binom{n}{1} xy^{n-1} = nx^2 y^{n-1} \neq 0.$$

$$y(x + y)^{n-1} = y \cdot \binom{n-1}{2} x^2 y^{n-3} = \frac{(n-1)(n-2)}{2} x^2 y^{n-2} = 0.$$

So the Jordan type  $J_{\ell, S}$  is not of the form  $(-, n, -)$  with a linear form  $\ell = x + y$ , i.e.,  $R/(x^3, y^n)$  does not have the SLP.

- Let  $n = 2\alpha$  with  $\alpha$  is an odd, so  $n = 4k + 2$  for some  $k \geq 1$ . Hence  $4 \mid (n - 2)$ , and so the above two forms have to be 0. But,

$$x(x + y)^{n-1} = x \cdot \binom{n-1}{1} xy^{n-2} = (n-1)x^2 y^{n-2} \neq 0,$$

$$y^2(x + y)^{n-3} = y^{n-1} + (n-3)xy^{n-2} + \frac{(n-3)(n-4)}{2} x^2 y^{n-3} \neq 0.$$

In degree  $(n+1)$ , a single form  $x^2 y^{n-1}$  is obviously linearly independent. Now consider two forms in degree  $n$ . I.e.,

$$\begin{aligned} (x + y)^n &= x^2 y^{n-2}, \\ x(x + y)^{n-1} &= x^2 y^{n-2} + y^{n-1}, \end{aligned}$$

which are linearly independent. We now consider three forms in degree  $(n - 1)$ . I.e.,

$$\begin{aligned} (x + y)^{n-1} &= x^2 y^{n-3}, \\ x(x + y)^{n-2} &= x^2 y^{n-3} + y^{n-1}, \\ y^2(x + y)^{n-3} &= x^2 y^{n-3} - xy^{n-2} + y^{n-1}, \end{aligned}$$

which are linearly independent as well. So the Jordan type  $J_{\ell,S}$  is of the form  $(n+2, n, n-2)$  with a linear form  $\ell = x + y$ . Therefore,  $R/(x^3, y^n)$  has the SLP.

This completes the proof.  $\square$

**Proposition 3.3** (char  $\mathbb{k} = 3$ ). *Let  $S := \mathbb{k}[x, y]/(x^3, y^n)$  with char  $\mathbb{k} = 3$  and  $n \geq 3$ . Then  $S$  fails to have the SLP.*

*Proof.* Note that

$$(x+y)^{n+1} = \binom{n+1}{2} x^2 y^{n-1}.$$

So if  $n \equiv 0, -1 \pmod{3}$ , then the above equation is 0, i.e., a linear form  $\ell = x + y$  does not give a string of length  $(n+2)$ .

If  $n \equiv 1 \pmod{3}$ , then

$$x(x+y)^n = nx^2y^{n-1} = x^2y^{n-1} \neq 0,$$

and

$$y(x+y)^n = 0.$$

I.e., the Jordan type  $J_{\ell,S}$  with  $\ell = x + y$  cannot be of the form

$$J_{\ell,S} = (\lambda_1, n, \lambda_3).$$

and so  $S$  fails to have the SLP, as we wished.  $\square$

**Theorem 3.4** (char  $\mathbb{k} \geq 3$ ). *Let  $S := \mathbb{k}[x, y]/(x^3, y^n)$  with char  $\mathbb{k} = p \geq 3$  and  $n \geq 3$ . If  $n \equiv 0, \pm 1 \pmod{p}$ , then  $S$  fails to have the SLP. Otherwise,  $S$  has the SLP. In particular, if char  $\mathbb{k} = 3$ , then  $S$  fails to have the SLP for any  $n \geq 3$ .*

*Proof.* It is immediate that the two linear forms  $x$  and  $y$  do not give a string of length of  $n+2$ . So it is enough to consider a linear form  $\ell = x + y$ .

By Proposition 3.3, this theorem holds for char  $\mathbb{k} = 3$ . So we now suppose that char  $\mathbb{k} \geq 5$ .

(1) Let  $n = p\alpha$ ,  $p\alpha - 1$  and  $\alpha \geq 1$ . Then  $p \mid \binom{n+1}{2}$  and  $p \mid \binom{n+1}{3}$ . So

$$(x+y)^{n+1} = 0,$$

i.e., for any linear form  $\ell$  in  $R$  the Jordan type  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (< n+2, \dots).$$

This implies that  $S$  fails to have the SLP.

(2) Let  $n = p\alpha + 1$ . Then  $p \mid \binom{n}{2}$ ,  $p \mid \binom{n}{3}$ ,  $p \mid (n-1)$ ,  $p \mid \binom{n-1}{2}$ , and  $p \mid \binom{n-1}{3}$ . Hence

$$\begin{aligned} x(x+y)^n &= x^2y^{n-1} \neq 0, \\ y(x+y)^{n-1} &= 0. \end{aligned}$$

This shows that for any linear form  $L = x + by$  with  $b \in \mathbb{k}$ ,

$$L(x+y)^n \neq 0,$$

i.e., for a linear form  $\ell = x + y \in R$ , the Jordan type  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (\lambda_1, \lambda_2, \lambda_3).$$

with  $\lambda_2 > n$ . Thus  $S$  fails to have the SLP.

(3) Let  $n \not\equiv 0, \pm 1 \pmod{p}$ . By Theorem 2.1,  $S$  has the SLP. Hence for a linear form  $\ell = x + y$ , the Jordan type  $J_{\ell,S}$  is

$$J_{\ell,S} = (n + 2, n, n - 2).$$

This completes the proof.  $\square$

### 3.2. $\text{char } \mathbb{k} \geq 2$ and $m = 4$

Note that if  $S = \mathbb{k}[x, y]/(x^4, y^n)$  has the SLP for a Lefschetz element  $\ell$ , then the Jordan type  $J_{\ell,S}$  for  $S$  is  $(n + 3, n + 1, n - 1, n - 3)$ . The following theorem is known by [2, Corollary 4.8], and we introduce a new proof based on Jordan type argument for a linear form  $\ell = x + y$ .

**Theorem 3.5** ( $\text{char } \mathbb{k} = 2$ ). *Let  $S := \mathbb{k}[x, y]/(x^4, y^n)$  and  $\text{char } \mathbb{k} = 2$  and  $n \geq 4$ . Then  $S$  fails to have the SLP.*

*Proof.* Note that we have only three kind of linear forms, namely,

$$x, y, x + y.$$

But for a linear form  $x, y$ , the Jordan types are

$$J_x = (4, 4, \dots, 4) := [4^n],$$

$$J_y = (n, n, n, n) := [n^4].$$

So two linear forms  $x$  and  $y$  are not strong Lefschetz elements. Now consider a linear form  $\ell = x + y$ , and note that

$$(x + y)^{n+3} = \binom{n+3}{3} x^3 y^{n-1}.$$

(a) If  $n \equiv \pm 1, 2 \pmod{4}$ , then

$$(x + y)^{n+3} = \binom{n+3}{3} x^3 y^{n-1} = 0,$$

and so the Jordan type  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (\lambda_1, \dots)$$

with  $\lambda_1 \leq n + 2$ , and thus  $S$  fails to have the SLP.

(b) We now assume that  $n \equiv 0 \pmod{4}$ . By a simple calculation, the Jordan type is

$$J_{\ell,S} = (n, n, n, n) = [n^4].$$

This implies that  $S$  fails to have the SLP.

This completes the proof.  $\square$

**Theorem 3.6** ( $\text{char } \mathbb{k} = 3$ ). *Let  $S := \mathbb{k}[x, y]/(x^4, y^n)$  with  $\text{char } \mathbb{k} = 3$  and  $n \geq 4$ . If  $n \not\equiv \pm 4 \pmod{9}$ , then  $S$  fails to have the SLP. Otherwise  $S$  has the SLP.*

*Proof.* (1) Assume  $n = 9\alpha, 9\alpha - 1, 9\alpha - 2$ , with  $\alpha \geq 1$ . Note that  $3 \mid \binom{n+2}{3}$ . Then

$$(x + y)^{n+2} = \binom{n+2}{3} x^3 \cdot y^{n-1} = 0,$$

which implies that any linear form  $x + y$  cannot give a string of length  $(n + 3)$ . Thus the ring  $S$  fails to have the SLP.

(2) Let  $n = 9\alpha + 1$  with  $\alpha \geq 1$ . Note that  $3 \mid \binom{n}{2}$  and  $3 \mid \binom{n}{3}$ . So

$$\begin{aligned} y(x + y)^n &= \binom{n}{2} x^2 y^{n-1} + \binom{n}{3} x^3 y^{n-2} = 0, \quad \text{and} \\ x(x + y)^n &= \binom{n}{2} x^3 y^{n-2} = 0. \end{aligned}$$

Thus for any  $a \in \mathbb{k} - \{0\}$ ,

$$(ax + y)(x + y)^n = 0,$$

as well. This implies that for a linear form  $\ell = x + y$  the Jordan type  $J_{\ell, S}$  is of the form

$$J_{\ell, S} = (\lambda_1, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_2 < n + 1$ . Hence the ring  $S$  fails to have the SLP.

(3) Let  $n = 9\alpha \pm 3$  with  $\alpha \geq 1$ . Note that  $3 \mid \binom{n}{2}$  and  $3 \nmid \binom{n+1}{3}$ . So

$$\begin{aligned} y(x + y)^{n+1} &= \binom{n+1}{3} x^3 y^{n-1} \neq 0, \quad \text{and} \\ x(x + y)^n &= \binom{n}{2} x^3 y^{n-2} = 0. \end{aligned}$$

Thus,

$$(x + y)(x + y)^{n+1} \neq 0.$$

This implies that for any linear form  $\ell$  the Jordan type  $J_{\ell, S}$  is of the form

$$J_{\ell, S} = (\lambda_1, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_2 > n + 1$ . Hence the ring  $S$  fails to have the SLP.

(4) Let  $n = 9\alpha + 2$  with  $\alpha \geq 1$ . Note that  $3 \nmid (n - 1) = (9\alpha + 1)$ ,  $3 \mid \binom{n-2}{2}$ , and  $3 \mid \binom{n-2}{3}$ . For every  $a \in \mathbb{k} - \{0\}$ ,

$$\begin{aligned} x^2(x + y)^{n-1} &= x^2 y^{n-1} + (n - 1)x^3 y^{n-2} \neq 0, \\ xy(x + y)^{n-1} &= (n - 1)x^2 y^{n-1} \neq 0, \quad \text{and} \\ y^2(x + y)^{n-2} &= (n - 2)xy^{n-1} + \binom{n-2}{2} x^2 y^{n-2} + \binom{n-2}{3} x^3 y^{n-3} = 0. \end{aligned}$$

Since one can easily show that the above two nonzero forms are linearly independent, we see that for any  $(\gamma, \delta) \neq (0, 0)$ ,

$$(\gamma x^2 + \delta xy)(x + y)^{n-1} \neq 0,$$

which implies that for any linear form  $\ell$  the Jordan type  $J_{\ell, S}$  is of the form

$$J_{\ell, S} = (\lambda_1, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_3 > n - 1$ . Thus the ring  $S$  fails to have the SLP.

(5) Let  $n = 9\alpha + 4$  with  $\alpha \geq 0$ . Note that  $3 \nmid \binom{n+2}{3}$  and  $3 \mid \binom{n-1}{2}$ . Let  $\ell = x + y$ . We shall find four forms  $L, Q$ , and  $C$  of degrees 1, 2, and 3 which give strings of length  $n + 3, n + 1, n - 1$ , and  $n - 3$ , respectively.

First, let  $\ell = x + y$ . Then

$$(x + y)^{n+2} = \binom{n+2}{3} x^3 y^{n-1} = 2x^3 y^{n-1} \neq 0.$$

Since

$$x(x + y)^n = nx^2 y^{n-1} + \binom{n}{2} x^3 y^{n-2} = x^2 y^{n-1}, \quad \text{and}$$

$$y(x + y)^n = \binom{n}{2} x^2 y^{n-1} + \binom{n}{3} x^3 y^{n-2} = x^3 y^{n-2},$$

we can take  $L = x - y \nmid x + y$ . Then

$$(x - y)(x + y)^n = x^2 y^{n-1} - x^3 y^{n-2} \neq 0, \quad \text{and}$$

$$(x - y)(x + y)^{n+1} = \binom{n+1}{2} x^3 y^{n-1} = 0.$$

Now let  $Q = \alpha_1 x^2 + \alpha_2 xy + \alpha_3 y^2$  for some  $\alpha_i \in \mathbb{k}$ , and assume that

$$\begin{aligned} Q \cdot (x + y)^{n-2} &\neq 0, \quad \text{and} \\ Q \cdot (x + y)^{n-1} &= 0. \end{aligned}$$

By a simple calculation, one can find that  $Q = xy \nmid x + y$ . Indeed,

$$\begin{aligned} xy(x + y)^{n-2} &= xy^{n-1} + (n-2)xy^{n-2} + \binom{n-2}{2} x^3 y^{n-3} \\ &= xy^{n-1} - x^2 y^{n-2} + x^3 y^{n-3} \neq 0, \quad \text{and} \end{aligned}$$

$$xy(x + y)^{n-1} = (xy^{n-1} - x^2 y^{n-2} + x^3 y^{n-3})(x + y) = 0.$$

We now find a cubic form  $C = \beta_1 x^3 + \beta_2 x^2 y + \beta_3 xy^2 + \beta_4 y^3$  with  $\beta_i \in \mathbb{k}$  such that

$$\begin{aligned} C \cdot (x + y)^{n-4} &\neq 0, \quad \text{and} \\ C \cdot (x + y)^{n-3} &= 0. \end{aligned}$$

By a simple calculation, we find  $C = x^3 - xy^2 + xy^2 - y^3$ . In fact, since  $3 \mid \binom{n-4}{2}$  and  $3 \mid \binom{n-4}{3}$ , we have

$$x^3(x+y)^{n-4} = x^3y^{n-4},$$

$$x^2y(x+y)^{n-4} = x^2y^{n-3},$$

$$xy^2(x+y)^{n-4} = xy^{n-2}, \quad \text{and}$$

$$y^3(x+y)^{n-4} = y^{n-1}.$$

In other words,

$$\begin{aligned} (x^3 - xy^2 + xy^2 - y^3)(x+y)^{n-4} &= x^3y^{n-4} - x^2y^{n-3} + xy^{n-2} - y^{n-1} \neq 0, \text{ and} \\ (x^3 - xy^2 + xy^2 - y^3)(x+y)^{n-3} &= (x^3y^{n-4} - x^2y^{n-3} + xy^{n-2} - y^{n-1})(x+y) \\ &= 0. \end{aligned}$$

We now prove that the four forms

$$(x+y)^{n-1}, L \cdot (x+y)^{n-2}, Q \cdot (x+y)^{n-3}, C \cdot (x+y)^{n-4}$$

are linearly independent. Assume that for some  $\alpha_i \in \mathbb{k}$

$$\alpha_1(x+y)^{n-1} + \alpha_2L \cdot (x+y)^{n-2} + \alpha_3Q \cdot (x+y)^{n-3} + \alpha_4C \cdot (x+y)^{n-4} = 0.$$

After we multiply by  $(x+y)^3$  to the above equation, we obtain that

$$\alpha_1(x+y)^{n+2} = 0, \quad \text{i.e.,} \quad \alpha_1 = 0.$$

By a similar argument, we can easily show that

$$\alpha_2 = \alpha_3 = \alpha_4 = 0$$

as well. This shows that the above four forms are linearly independent. By an analogous argument as above, one can easily show that the following three sets

$$\begin{aligned} &\{(x+y)^n, L \cdot (x+y)^{n-1}, Q \cdot (x+y)^{n-2}\}, \\ &\{(x+y)^{n+1}, L \cdot (x+y)^n\}, \quad \text{and} \\ &\{(x+y)^{n+2}\} \end{aligned}$$

are linearly independent, respectively. Thus the Jordan type  $J_{\ell, S}$  is

$$\boxed{J_{\ell, S} = (n+3, n+1, n-1, n-3)}$$

and hence the ring  $S$  has the SLP.

(6) Let  $n = 9\alpha + 5$  with  $\alpha \geq 0$ . Note that  $3 \nmid \binom{n+2}{3}$  and  $3 \mid \binom{n-1}{2}$ , and  $3 \mid \binom{n+1}{2}$ . Let  $\ell = x+y$ . By an analogous argument as in Case (5), one can find that

$$L = x, \quad Q = x^2 - xy - y^2, \quad C = x^3 - xy^2 - y^3.$$

Indeed,

$$(x+y)^{n+2} = \binom{n+2}{3}x^3y^{n-1} = 2x^3y^{n-1} \neq 0,$$

$$x(x+y)^n = nx^2y^{n-1} + \binom{n}{2}x^3y^{n-2} = 2y^{n-1} + x^3y^{n-2} \neq 0, \quad \text{and}$$

$$x(x+y)^{n+1} = \binom{n+1}{2}x^3y^{n-1} = 0.$$

Moreover, note that

$$\begin{aligned} x^2(x+y)^{n-2} &= x^2y^{n-2}, \\ xy(x+y)^{n-2} &= xy^{n-1}, \quad \text{and} \\ y^2(x+y)^{n-2} &= x^3y^{n-3}, \end{aligned}$$

which implies that

$$\begin{aligned} (x^2 - xy - y^2)(x+y)^{n-2} &= -xy^{n-1} + x^2y^{n-2} - x^3y^{n-3} \neq 0, \quad \text{and} \\ (x^2 - xy - y^2)(x+y)^{n-1} &= (-xy^{n-1} + x^2y^{n-2} - x^3y^{n-3})(x+y) = 0. \end{aligned}$$

Since  $3 \mid \binom{n-4}{2}$  and  $3 \mid \binom{n-4}{3}$ , we get that

$$\begin{aligned} x^3(x+y)^{n-4} &= x^3y^{n-4}, \\ xy^2(x+y)^{n-4} &= xy^{n-2} + x^2y^{n-3}, \quad \text{and} \\ y^3(x+y)^{n-4} &= y^{n-1} + xy^{n-2}, \end{aligned}$$

i.e.,

$$\begin{aligned} (x^3 - xy^2 - y^3)(x+y)^{n-4} &= -y^{n-1} + xy^{n-2} - x^2y^{n-3} + x^3y^{n-4} \neq 0, \quad \text{and} \\ (x^3 - xy^2 - y^3)(x+y)^{n-3} &= (-y^{n-1} + xy^{n-2} - x^2y^{n-3} + x^3y^{n-4})(x+y) = 0. \end{aligned}$$

By a similar argument as in Case (5), one can show that the following four sets

$$\begin{aligned} \{(x+y)^{n-1}, L \cdot (x+y)^{n-2}, Q \cdot (x+y)^{n-3}, C \cdot (x+y)^{n-4}\}, \\ \{(x+y)^n, L \cdot (x+y)^{n-1}, Q \cdot (x+y)^{n-2}\}, \quad \text{and} \\ \{(x+y)^{n+1}, L \cdot (x+y)^n\}, \\ \{(x+y)^{n+2}\} \end{aligned}$$

are linearly independent, respectively. Thus the Jordan type  $J_{\ell, S}$  is

$$\boxed{J_{\ell, S} = (n+3, n+1, n-1, n-3)}$$

as we wished, and hence the ring  $S$  has the SLP.

This completes the proof.  $\square$

We now move on to  $\text{char } \mathbb{k} \geq 5$ . Let  $S := \mathbb{k}[x, y]/(x^4, y^n)$  with  $\text{char } \mathbb{k} = 5$  and  $n \geq 4$ . Then

$$\mathbf{H}_S^\vee = (n+3, n+1, n-1, n-3).$$

Note that

$$x(x+y)^{n+2} = y(x+y)^{n+2} = 0.$$

Hence two linear forms  $x$  and  $y$  cannot give a string of length  $(n + 3)$ . So we shall assume that a linear form is  $\ell = x + y$  for the rest of this section.

**Lemma 3.7** ( $\text{char } \mathbb{k} = 5$ ). *Let  $S := \mathbb{k}[x, y]/(x^4, y^n)$  with  $\text{char } \mathbb{k} = 5$  and  $n \geq 4$ . Then  $S$  fails to have the SLP for every  $n \geq 4$ .*

*Proof.* If  $n = 4$ , then

$$(x + y)^6 = 0,$$

i.e., the Jordan type  $J_{\ell, S}$  cannot be of the form

$$J_{\ell, S} = (7, 5, 3, 1).$$

Furthermore, since  $p = 5 \geq 2 \cdot 4 - 3 = 2 \cdot m - 3$ , by Theorem 2.5 for every  $n \equiv 0, \pm 1, \pm 2 \pmod{5}$ , i.e., for every  $n \geq 5$ ,  $S$  fails to have the SLP. This completes the proof.  $\square$

We now classify the Jordan type for an Artinian ring  $S := \mathbb{k}[x, y]/(x^4, y^4)$  for any characteristic  $p > 0$ . Recall that  $S$  has the SLP for  $p = 3$  and  $(m, n) = (4, 4)$  (see Theorem 3.6), but  $S$  fails to have the SLP for  $p = 5$  and  $(m, n) = (4, 4)$  (see Lemma 3.7). So we assume that  $\text{char } \mathbb{k} = p \geq 7$  for the following theorem.

Recall that by Theorem 2.5 and Lemma 3.7 the ring  $S := \mathbb{k}[x, y]/(x^4, y^n)$  with  $\text{char } \mathbb{k} \geq 5$  fails to have the SLP for any  $n \geq 4$  with  $n \equiv 0, \pm 1, \pm 2 \pmod{p}$ . By Theorems 2.1 and 2.5, the following theorem is immediate, and thus we omit the proof.

**Theorem 3.8** ( $\text{char } \mathbb{k} = p \geq 7$ ). *Let  $S := \mathbb{k}[x, y]/(x^4, y^n)$  with  $\text{char } \mathbb{k} = p \geq 7$  and  $n \geq 4$ . Then  $S$  has the SLP for  $n \equiv \pm 3, \dots, \pm \frac{p-1}{2} \pmod{p}$ . Otherwise,  $S$  fails to have the SLP.*

#### 4. The Jordan type for rings $\mathbb{k}[x, y]/(x^m, y^n)$ failing to have the SLP when $m$ is 3 or 4

In this section, we determine the Jordan type for an Artinian complete intersection quotient  $S := \mathbb{k}[x, y]/(x^m, y^n)$  for  $m = 3, 4$  with  $\text{char } \mathbb{k} = p > 0$ . In order to shorten the paper, we are posting full calculations for proofs of some Theorems of this section on the arXiv version of the paper (see modular jordan type-full.pdf).

##### 4.1. $\text{char } \mathbb{k} \geq 2$ and $m = 3$

**Theorem 4.1** ( $\text{char } \mathbb{k} = 2$ ). *Let  $S := \mathbb{k}[x, y]/(x^3, y^n)$  with  $\text{char } \mathbb{k} = 2$  and  $n \equiv 0, \pm 1 \pmod{4}$ . Then for a linear form  $\ell = x + y$ , the Jordan type  $J_{\ell, S}$  is as follows.*

	$J_{\ell, S}$
$n \equiv 0 \pmod{4}$	$(n, n, n)$
$n \equiv -1 \pmod{4}$	$(n + 1, n + 1, n - 2)$
$n \equiv 1 \pmod{4}$	$(n + 2, n - 1, n - 1)$

*Proof.* Recall that  $S$  fails to have the SLP for  $n \equiv 0, \pm 1 \pmod{4}$  and  $S$  has the SLP for  $n \equiv 2 \pmod{4}$  (see Theorem 3.2). Since there is no quadratic form  $Q$  such that the product

$$\begin{aligned} Q \cdot (x+y)^{n-4} &\neq 0, \quad \text{and} \\ Q \cdot (x+y)^{n-3} &= 0, \end{aligned}$$

$J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+2, \lambda_2, \lambda_3)$$

with  $n+2 \geq \lambda_2 \geq \lambda_3 \geq n-2$ .

(a) Assume  $n \equiv 0 \pmod{4}$ . Let  $4 \mid n$  with  $n \geq 4$ . Note that

$$\begin{aligned} (x+y)^{n-1} &= (n-1)xy^{n-2} + y^{n-1} \neq 0, \\ (x+y)^n &= 0. \end{aligned}$$

In other words,

$$\boxed{J_{\ell,S} = (n, n, n).}$$

(b) Let  $n \equiv 1 \pmod{4}$ . Let  $\ell = x+y$  with  $n \geq 4$ . But  $S$  fails to have the SLP, i.e.,  $J_{\ell,S}$  is not of the form

$$J_{\ell,S} = (n+2, n, n-2).$$

Furthermore, it is easy to prove that each of the following three sets

$$\begin{aligned} &\{(x+y)^{n-1}, y(x+y)^{n-2}, y^2(x+y)^{n-3}\}, \\ &\{(x+y)^n, y^2(x+y)^{n-2}\}, \\ &\{(x+y)^{n+1}\}, \end{aligned}$$

is linearly independent, respectively. In other words,

$$\boxed{J_{\ell,S} = (n+2, n-1, n-1).}$$

(c) Let  $n \equiv -1 \pmod{4}$ . Since there is no linear form  $L \neq x+y$  such that

$$L \cdot (x+y)^n = 0,$$

and

$$\begin{aligned} (x+y)^n &= x^2y^{n-2} + xy^{n-1} \neq 0, \\ (x+y)^{n+1} &= 0, \end{aligned}$$

$J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+1, \geq n+1, \geq n-2).$$

So  $J_{\ell,S}$  is

$$\boxed{J_{\ell,S} = (n+1, n+1, n-2).}$$

This completes the proof.  $\square$

**Theorem 4.2** ( $\text{char } \mathbb{k} = 3$ ). *Let  $S := \mathbb{k}[x, y]/(x^3, y^n)$  with  $\text{char } \mathbb{k} = 3$  and  $n \geq 3$ . Then for a linear form  $\ell = x + y$ , the Jordan type  $J_{\ell, S}$  is as follows.*

	$J_{\ell, S}$
$n \equiv 0 \pmod{3}$	$(n, n, n)$
$n \equiv -1 \pmod{3}$	$(n + 1, n + 1, n - 2)$
$n \equiv 1 \pmod{3}$	$(n + 2, n - 1, n - 1)$

*Proof.* Recall that  $S$  fails to have the SLP (see Proposition 3.3). Note that there is no quadratic form  $Q$  such that

$$Q \cdot (x + y)^{n-3} = 0.$$

So  $J_{\ell, S}$  is of the form

$$J_{\ell, S} = (\lambda_1, \lambda_2, \lambda_3)$$

with  $\lambda_3 \geq n - 2$ .

(a) Assume  $n \equiv 0 \pmod{3}$ . Note that

$$\begin{aligned} (x + y)^{n-1} &= x^2 y^{n-3} + xy^{n-2} + y^{n-1} \neq 0, \\ (x + y)^n &= 0. \end{aligned}$$

In other words,

$$J_{\ell, S} = (n, n, n).$$

(b) Let  $n \equiv 1 \pmod{3}$ . Note that

$$\begin{aligned} (x + y)^{n+1} &= x^2 y^{n-1} \neq 0, \\ (x + y)^{n+2} &= 0, \end{aligned}$$

$J_{\ell, S}$  is of the form

$$J_{\ell, S} = (n + 2, \lambda_2, \lambda_3)$$

with  $\lambda_3 \geq n - 2$ . Since  $S$  does not have the SLP,  $J_{\ell, S}$  cannot be of the form

$$J_{\ell, S} = (n + 2, n, n - 2).$$

Hence  $J_{\ell, S}$  is of the form

$$J_{\ell, S} = (n + 2, n - 1, n - 1).$$

(c) Let  $n \equiv -1 \pmod{3}$ . Note that

$$\begin{aligned} (x + y)^n &= x^2 y^{n-2} - xy^{n-1} \neq 0, \\ (x + y)^{n+1} &= 0. \end{aligned}$$

Hence  $J_{\ell, S}$  is of the form

$$J_{\ell, S} = (n + 1, \lambda_2, \lambda_3)$$

with  $\lambda_3 \geq n - 2$ . Since there is no linear form  $L \neq x + y$  such that

$$\begin{aligned} L \cdot (x + y)^{n-1} &\neq 0, \\ L \cdot (x + y)^n &= 0, \end{aligned}$$

$J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+1, > n, n-1).$$

So we get that

$$\boxed{J_{\ell,S} = (n+1, n+1, n-2)}.$$

This completes the proof.  $\square$

**Theorem 4.3** ( $\text{char } \mathbb{k} = p \geq 5$ ). *Let  $S := \mathbb{k}[x, y]/(x^3, y^n)$  with  $\text{char } \mathbb{k} = p \geq 5$ . For a linear form  $\ell = x + y$  and for  $n \equiv 0, \pm 1 \pmod{p}$ , the Jordan type  $J_{\ell,S}$  is as follows.*

	$J_{\ell,S}$
$n \equiv 0 \pmod{p}$	$(n, n, n)$
$n \equiv -1 \pmod{p}$	$(n+1, n+1, n-2)$
$n \equiv 1 \pmod{p}$	$(n+2, n-1, n-1)$

*Proof.* Recall that by Theorem 3.4,  $S$  fails to have the SLP for  $n \equiv 0, \pm 1 \pmod{p}$ .

(a) Assume  $n \equiv 0 \pmod{p}$ . Note that

$$\begin{aligned} (x+y)^{n-1} &= x^2y^{n-3} - xy^{n-2} + y^{n-1} \neq 0, \\ (x+y)^n &= 0. \end{aligned}$$

In other words,

$$\boxed{J_{\ell,S} = (n, n, n)}.$$

(b) Let  $n \equiv 1 \pmod{p}$ . Note that

$$\begin{aligned} (x+y)^{n+1} &= x^2y^{n-1} \neq 0, \\ (x+y)^{n+2} &= 0. \end{aligned}$$

Hence  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+2, \lambda_2, \lambda_3)$$

with  $\lambda_3 \geq n-2$ . Since  $S$  fails to have the SLP,  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+2, n-1, n-1).$$

(c) Let  $n \equiv -1 \pmod{p}$ . Note that

$$\begin{aligned} (x+y)^n &= x^2y^{n-2} - xy^{n-1} \neq 0, \\ (x+y)^{n+1} &= 0, \end{aligned}$$

Hence  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+1, \lambda_2, \lambda_3)$$

with  $\lambda_3 \geq n-2$ . Note that there is no linear form  $L \neq x + y$  such that

$$L \cdot (x+y)^n = 0,$$

and for  $Q = 3x^2 + 3xy + y^2$ ,

$$y(x+y)^n = x^2y^{n-1} \neq 0,$$

$$\begin{aligned}
y(x+y)^{n+1} &= 0, \\
Q \cdot (x+y)^{n-3} &= x^2y^{n-3} - xy^{n-2} + y^{n-1} \neq 0, \quad \text{and} \\
Q \cdot (x+y)^{n-2} &= 0.
\end{aligned}$$

So

$$J_{\ell,S} = (n+1, n+1, n-2).$$

This completes the proof.  $\square$

#### 4.2. $\text{char } \mathbb{k} \geq 2$ and $m = 4$

**Theorem 4.4** ( $\text{char } \mathbb{k} = 2$ ). *Let  $S = \mathbb{k}[x, y]/(x^4, y^n)$  with  $\text{char } \mathbb{k} = 2$  and  $n \geq 4$ . For a linear form  $\ell = x + y$ , the Jordan type  $J_{\ell,S}$  is as follows.*

	$J_{\ell,S}$
$n \equiv 0 \pmod{4}$	$(n, n, n, n)$
$n \equiv -1 \pmod{4}$	$(n+1, n+1, n+1, n-3)$
$n \equiv 2 \pmod{4}$	$(n+2, n+2, n-2, n-2)$
$n \equiv 1 \pmod{4}$	$(n+3, n-1, n-1, n-1)$

*Proof.* Recall that  $S$  fails to have the SLP for  $n \geq 4$  (see Theorem 3.5). Note that there is no cubic form  $C$  such that

$$C \cdot (x+y)^{n-4} = 0.$$

Hence the Jordan type  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (\lambda_1, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_4 \geq n-3$ .

(a) Let  $n \equiv 0 \pmod{4}$ . Then

$$(x+y)^n = 0,$$

and thus the Jordan type  $J_{\ell,S}$  is

$$J_{\ell,S} = (n, n, n, n).$$

(b) Let  $n \equiv 1$ . For any linear form  $L$ ,

$$L \cdot (x+y)^{n+2} = 0.$$

Moreover, if for a linear form  $L$

$$L \cdot (x+y)^{n+1} = 0,$$

then  $L = y$ , and thus

$$L \cdot (x+y)^n = L(x+y)^{n-1} = 0,$$

as well. This shows that  $J_{\ell,S}$  is

$$J_{\ell,S} = (n+3, n-1, n-1, n-1).$$

(c) Let  $n \equiv -1$ . Then

$$\begin{aligned}(x+y)^n &= x^3y^{n-3} + x^2y^{n-2} + xy^{n-1} \neq 0, \\ (x+y)^{n+1} &= 0.\end{aligned}$$

Since there is no linear form  $L \neq x+y$  such that

$$L \cdot (x+y)^n = 0.$$

So the Jordan type  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+1, n+1, \lambda_3, \lambda_4)$$

with  $\lambda_4 \geq n-3$ . Moreover, if  $Q \cdot (x+y)^n = 0$  for a quadratic form  $Q$ , then  $x+y \mid Q$ . we get that  $J_{\ell,S}$  is

$$J_{\ell,S} = (n+1, n+1, n+1, n-3).$$

(d) Let  $n \equiv 2$ . Then

$$\begin{aligned}(x+y)^{n+1} &= x^3y^{n-2} + x^2y^{n-1} \neq 0, \\ (x+y)^{n+2} &= 0.\end{aligned}$$

Since there is no linear form  $L \neq x+y$  such that

$$L \cdot (x+y)^{n+1} = 0.$$

So the Jordan type  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+2, n+2, \lambda_3, \lambda_4).$$

with  $\lambda_4 \geq n-3$ . Moreover, since there is no a cubic form  $C$  such that  $x+y \nmid C$  and

$$C \cdot (x+y)^{n-3} = 0,$$

we get that  $J_{\ell,S}$  is

$$J_{\ell,S} = (n+2, n+2, n-2, n-2).$$

This completes the proof.  $\square$

**Theorem 4.5** ( $\text{char } \mathbb{k} = 3$ ). *Let  $S = \mathbb{k}[x, y]/(x^4, y^n)$  with  $\text{char } \mathbb{k} = 3$  and  $n \geq 4$ . For a linear form  $\ell = x+y$  and for  $n \not\equiv \pm 4 \pmod{9}$ , the Jordan type  $J_{\ell,S}$  is as follows.*

	$J_{\ell,S}$
$n \equiv 0 \pmod{9}$	$(n, n, n, n)$
$n \equiv -1 \pmod{9}$	$(n+1, n+1, n+1, n-3)$
$n \equiv -2 \pmod{9}$	$(n+2, n+2, n-1, n-3)$
$n \equiv -3 \pmod{9}$	$(n+3, n, n, n-3)$
$n \equiv 1 \pmod{9}$	$(n+3, n-1, n-1, n-1)$
$n \equiv 2 \pmod{9}$	$(n+3, n+1, n-2, n-2)$
$n \equiv 3 \pmod{9}$	$(n+3, n, n, n-3)$

*Proof.* Recall that by Theorem 3.6, for  $n \not\equiv \pm 4 \pmod{9}$ ,  $S$  fails to have the SLP. Otherwise,  $S$  has the SLP. First note that there is no cubic form  $C$  such that

$$C \cdot (x + y)^{n-4} = 0.$$

So  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (\lambda_1, \lambda_2, \lambda_3, \lambda_4).$$

with  $\lambda_4 \geq n - 3$ .

(a) Let  $n \equiv 0 \pmod{9}$ . Note that

$$\begin{aligned} (x + y)^{n-1} &= -x^3y^{n-4} + x^2y^{n-3} - xy^{n-2} + y^{n-1} \neq 0, \\ (x + y)^n &= 0. \end{aligned}$$

In other words,

$$\boxed{J_{\ell,S} = (n, n, n, n)}.$$

(b) Let  $n \equiv 1 \pmod{9}$ . Note that

$$\begin{aligned} (x + y)^{n+2} &= x^3y^{n-1} \neq 0, \\ (x + y)^{n+3} &= 0. \end{aligned}$$

Thus  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n + 3, \lambda_2, \lambda_3, \lambda_4).$$

with  $\lambda_4 \geq n - 3$ . Moreover there is no quadratic form  $Q$  such that

$$Q \cdot (x + y)^{n-2} = 0.$$

So  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n + 3, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_3 \geq n - 1$  and  $\lambda_4 \geq n - 3$ . Since the sum of the components of  $J_{\ell,S}$  is  $4n$ , the second component of  $J_{\ell,S}$  has to be  $\leq n + 1$ . But for  $k = n + 1, n$ , and for some linear form  $L$ ,

$$(x + y)^k = x^{k-n+1}y^{n-1},$$

we see that  $L \cdot (x + y)^k = 0$  implies that  $L \cdot (x + y)^{k-1} = 0$ . Hence we conclude that

$$\boxed{J_{\ell,S} = (n + 3, n - 1, n - 1, n - 1)}.$$

(c) Let  $n \equiv -1 \pmod{9}$ . Note that

$$\begin{aligned} (x + y)^n &= x^2y^{n-2} - xy^{n-1} \neq 0, \\ (x + y)^{n+1} &= 0. \end{aligned}$$

Hence  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n + 1, -, -, \geq n - 3).$$

Note that

$$x(x + y)^n = nx^2y^{n-1} + \frac{n(n-1)}{2}x^3y^{n-2} \neq 0,$$

$$y(x+y)^n = \frac{n(n-1)}{2}x^2y^{n-1} + \frac{n(n-1)(n-2)}{6}x^3y^{n-2} \neq 0,$$

$$(x+2y)(x+y)^n = n^2x^2y^{n-1} + \frac{n(n-1)(2n-1)}{6}x^3y^{n-2} \neq 0,$$

which implies that there is no linear form  $L \neq x+y$  such that

$$L \cdot (x+y)^n = 0.$$

This shows that the Jordan type  $J_{\ell,S}$  has to be of the form

$$J_{\ell,S} = (n+1, n+1, \lambda_3, \lambda_4)$$

with  $\lambda_4 \geq n-3$ . Furthermore, it is not hard to show that if for a quadric form  $Q$

$$\begin{aligned} Q \cdot (x+y)^{n-1} &\neq 0, \quad \text{and} \\ Q \cdot (x+y)^n &= 0, \end{aligned}$$

then  $Q = y(x+y)$ . This implies that the third component of the Jordan type  $J_{\ell,S}$  has to be  $\geq n+1$ , i.e.,

$$\boxed{J_{\ell,S} = (n+1, n+1, n+1, n-3)}.$$

(d) Let  $n \equiv 2 \pmod{9}$ . Note that

$$\begin{aligned} (x+y)^{n+2} &= x^3y^{n-1} \neq 0, \\ (x+y)^{n+3} &= 0. \end{aligned}$$

Hence the Jordan type  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+3, \lambda_2, \lambda_3, \lambda_4).$$

with  $\lambda_4 \geq n-3$ . Suppose  $C = ax^3 + bx^2y + cxy^2 + dy^3$  for some  $a, b, c, d \in \mathbb{k}$  such that

$$\begin{aligned} C \cdot (x+y)^{n-4} &\neq 0, \quad \text{and} \\ C \cdot (x+y)^{n-3} &= 0. \end{aligned}$$

Then

$$\begin{aligned} ax^3 \cdot (x+y)^{n-3} &= ax^3y^{n-3}, \\ bx^2y \cdot (x+y)^{n-3} &= bx^2y^{n-2} + (n-3)bx^3y^{n-3}, \\ cxy^2 \cdot (x+y)^{n-3} &= cxy^{n-1} + (n-3)cx^2y^{n-2} + \frac{(n-3)(n-4)}{2}cx^3y^{n-3}, \\ dy^3 \cdot (x+y)^{n-3} &= (n-3)dxy^{n-1} + \frac{(n-3)(n-4)}{2}dx^2y^{n-2} \\ &\quad + \frac{(n-3)(n-4)(n-5)}{6}dx^3y^{n-3}. \end{aligned}$$

First, since  $n \equiv 2 \pmod{9}$ , we have  $n \equiv 2 \pmod{3}$ , i.e.,  $n-3 \equiv 2 \pmod{3}$ ,  $n-4 \equiv 1 \pmod{3}$ , and  $n-5 \equiv 0 \pmod{3}$ .

- (1)  $c + (n - 3)d = 0$  implies that  $c = d$ .
- (2)  $b + (n - 3)c + \frac{(n-3)(n-4)}{2}d = 0$  with  $c = d$ , we have that  $b = 0$ .
- (3)  $a + (n - 3)b + \frac{(n-3)(n-4)}{2}c + \frac{(n-3)(n-4)(n-5)}{6}d = 0$  with  $b = 0$ , and  $c = d$  yield  $a = 0$ .

In other words,  $(x + y) \mid C = y^2(x + y)$ . Thus the last component of the Jordan type  $J_{\ell, S}$  has to be  $\geq n - 2$ , i.e.,

$$J_{\ell, S} = (n + 3, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_4 \geq n - 2$ . Moreover, there is no linear form  $L \neq x + y$  such that

$$L \cdot (x + y)^n = 0.$$

So  $J_{\ell, S}$  is of the form

$$J_{\ell, S} = (n + 3, \lambda_2, \lambda_3, \lambda_4),$$

with  $\lambda_2 \geq n + 1$  and  $\lambda_4 \geq n - 2$ , i.e.,

$$\boxed{J_{\ell, S} = (n + 3, n + 1, n - 2, n - 2)}.$$

- (e) Let  $n \equiv -2 \pmod{9}$  and  $\ell = x + y$ . Note that

$$\begin{aligned} (x + y)^{n+1} &= -x^3y^{n-2} + x^2y^{n-1} \neq 0, \\ (x + y)^{n+2} &= 0, \end{aligned}$$

this shows that the Jordan type  $J_{\ell, S}$  is of the form

$$J_{\ell, S} = (n + 2, \lambda_2, \lambda_3, \lambda_4).$$

with  $\lambda_4 \geq n - 3$ . Furthermore, there is no linear form  $L \neq x + y$  such that

$$L \cdot (x + y)^{n+1} = 0,$$

and no quadratic form  $Q$  such that

$$Q \cdot (x + y)^{n-2} = 0,$$

we see that  $J_{\ell, S}$  is of the form

$$J_{\ell, S} = (n + 2, \lambda_2, \lambda_3, \lambda_4),$$

with  $\lambda_2 \geq n + 2$ ,  $\lambda_3 \geq n - 1$ , and  $\lambda_4 \geq n - 3$ . i.e.,

$$\boxed{J_{\ell, S} = (n + 2, n + 2, n - 1, n - 3)}.$$

- (f) Let  $n \equiv 3 \pmod{9}$ . Note that

$$\begin{aligned} (x + y)^{n+2} &= x^3y^{n-1} \neq 0, \\ (x + y)^{n+3} &= 0, \quad \text{and} \end{aligned}$$

there is no quadratic form  $Q$  such that

$$Q \cdot (x + y)^{n-1} = 0.$$

So the Jordan type  $J_{\ell, S}$  is of the form

$$J_{\ell, S} = (n + 3, \lambda_2, \lambda_3, \lambda_4),$$

with  $\lambda_3 \geq n$  and  $\lambda_4 \geq n - 3$ , i.e.,  $J_{\ell,S}$  has to be

$$\boxed{J_{\ell,S} = (n + 3, n, n, n - 3)}.$$

(g) Let  $n \equiv -3 \pmod{9}$ . Note that

$$(x + y)^{n+2} = -x^3 y^{n-1} \neq 0,$$

$$(x + y)^{n+3} = 0,$$

there is no quadratic form  $Q$  such that

$$Q \cdot (x + y)^{n-1} = 0.$$

So the Jordan type  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n + 3, \lambda_2, \lambda_3, \lambda_4),$$

with  $\lambda_3 \geq n$  and  $\lambda_4 \geq n - 3$ , i.e.,

$$\boxed{J_{\ell,S} = (n + 3, n, n, n - 3)}.$$

This completes the proof.  $\square$

**Theorem 4.6** ( $\text{char } \mathbb{k} \geq 5$  and  $m = 4$ ). *Let  $S := \mathbb{k}[x, y]/(x^4, y^n)$  with  $\text{char } \mathbb{k} = p \geq 5$  and  $n \geq 4$ . For a linear form  $\ell = x + y$  and for  $n \equiv 0, \pm 1, \pm 2 \pmod{p}$ ,  $S$  fails to have the SLP, and the Jordan type  $J_{\ell,S}$  is as follows.*

	$J_{\ell,S}$
$n \equiv 0 \pmod{p}$	$(n, n, n, n)$
$n \equiv -1 \pmod{p}$	$(n + 1, n + 1, n + 1, n - 3)$
$n \equiv -2 \pmod{p}$	$(n + 2, n + 2, n - 1, n - 3)$
$n \equiv 1 \pmod{p}$	$(n + 3, n - 1, n - 1, n - 1)$
$n \equiv 2 \pmod{p}$	$(n + 3, n + 1, n - 2, n - 2)$

*Proof.* Recall that by Theorem 2.5, if  $n \equiv 0, \pm 1, \pm 2 \pmod{p}$ ,  $S$  fails to have the SLP. Otherwise,  $S$  has the SLP (see Theorem 2.1). First, note that there is no cubic form  $C$  such that

$$C \cdot (x + y)^{n-4} = 0.$$

So the Jordan type is of the form

$$J_{\ell,S} = (\lambda_1, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_4 \geq n - 3$ .

(a) Let  $n \equiv 0 \pmod{p}$ . Then

$$(x + y)^{n-1} = -x^3 y^{n-4} + x^2 y^{n-3} - x y^{n-2} + y^{n-1} \neq 0, \quad \text{and}$$

$$(x + y)^n = 0.$$

So  $J_{\ell,S}$  is of the form

$$\boxed{J_{\ell,S} = (n, n, n, n)}.$$

(b) Let  $n \equiv 1 \pmod{p}$ . Note that

$$(x+y)^{n+2} = x^3y^{n-1} \neq 0, \quad \text{and} \\ (x+y)^{n+3} = 0.$$

Note that for any linear form  $L$

$$L \cdot (x+y)^{n+2} = 0,$$

so we have

$$J_{\ell,S} = (n+3, \lambda_2, \lambda_3, \lambda_4).$$

with  $\lambda_2 \leq n+2$  and  $\lambda_4 \geq n-3$ . If for a quadratic form  $Q$

$$Q \cdot (x+y)^{n-2} = 0,$$

then

$$Q = xy + y^2 = (x+y)y = \ell \cdot y.$$

So  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+3, \lambda_2n+2, \lambda_3 \geq n-1, \lambda_4 \geq n-3).$$

But the second component  $n+2$  of  $J_{\ell,S}$  is not possible. Moreover, since  $S$  does not have the SLP,  $J_{\ell,S}$  is not of the form

$$J_{\ell,S} = (n+3, n+1, n-1, n-3).$$

Furthermore, there is no linear form  $L \neq x+y$  such that

$$L \cdot (x+y)^{n-1} \neq 0, \\ L \cdot (x+y)^n = 0,$$

and thus  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+3, \lambda_2, \lambda_3, \lambda_4).$$

with  $\lambda_2 \leq n-1$ ,  $\lambda_3 \geq n-1$ , and  $\lambda_4 \geq n-3$ . I.e.,  $J_{\ell,S}$  is of the form

$$\boxed{J_{\ell,S} = (n+3, n-1, n-1, n-1)}.$$

(c) Let  $n \equiv 2 \pmod{p}$ . Note that

$$(x+y)^{n+2} = 4x^3y^{n-1} \neq 0, \quad \text{and} \\ (x+y)^{n+3} = 0.$$

Note that for any linear form  $L$

$$L \cdot (x+y)^{n+2} = 0.$$

So

$$J_{\ell,S} = (n+3, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_2 \leq n+2$  and  $\lambda_4 \geq n-3$ . If for a cubic form  $C$

$$C \cdot (x+y)^{n-3} = 0,$$

then

$$C = y^2 \cdot (x+y) = y^2 \cdot \ell.$$

This implies that

$$J_{\ell,S} = (n+3, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_2 \leq n+2$  and  $\lambda_4 \geq n-2$  and so

$$J_{\ell,S} = (n+3, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_2 \leq n+1$  and  $\lambda_4 \geq n-2$ . Since there is no linear form  $L \neq x+y$  such that

$$L \cdot (x+y)^n = 0,$$

$J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+3, n+1, \lambda_3, \lambda_4)$$

with  $\lambda_4 \geq n-2$ , i.e.,

$$\boxed{J_{\ell,S} = (n+3, n+1, n-2, n-2)}.$$

(d) Let  $n \equiv -1 \pmod{p}$ . Note that

$$(x+y)^n = -x^3y^{n-3} + x^2y^{n-2} - xy^{n-1} \neq 0, \quad \text{and}$$

$$(x+y)^{n+1} = 0.$$

So

$$J_{\ell,S} = (n+1, \lambda_2, \lambda_3, \lambda_4).$$

with  $\lambda_4 \geq n-3$ . Furthermore there is no quadratic form  $Q$  such that  $(x+y) \nmid Q$  and

$$Q \cdot (x+y)^n = 0,$$

so,

$$J_{\ell,S} = (n+1, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_3 \geq n+1$  and  $\lambda_4 \geq n-3$ , i.e.,

$$\boxed{J_{\ell,S} = (n+1, n+1, n+1, n-3)}.$$

(e) Let  $n \equiv -2 \pmod{p}$ . Note that

$$(x+y)^{n+1} = -x^3y^{n-2} + x^2y^{n-1} \neq 0, \quad \text{and}$$

$$(x+y)^{n+2} = 0.$$

So  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+2, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_4 \geq n-3$ . Now consider a quadratic form  $Q = ax^2 + bxy + cy^2$  with  $a, b, c \in \mathbb{k}$  such that

$$Q(x+y)^{n-2} = 0.$$

Note that

$$(x+y)^{n-2} = y^{n-1} + (n-2)xy^{n-3} + \frac{(n-2)(n-3)}{2}x^2y^{n-4}$$

$$+ \frac{(n-2)(n-3)(n-4)}{6}x^3y^{n-5}.$$

This implies that

$$\begin{aligned} ax^2(x+y)^{n-2} &= ax^2y^{n-2} + (n-2)ax^3y^{n-3}, \\ bxy(x+y)^{n-2} &= bxy^{n-1} + (n-2)bx^2y^{n-2} + \frac{(n-2)(n-3)}{2}bx^3y^{n-3}, \\ cy^2(x+y)^{n-2} &= (n-2)cxy^{n-1} + \frac{(n-2)(n-3)}{2}cx^2y^{n-2} \\ &\quad + \frac{(n-2)(n-3)(n-4)}{6}cx^3y^{n-3}. \end{aligned}$$

Moreover,  $Q(x+y)^{n-2} = 0$  yields

$$\begin{aligned} b + (n-2)c &= 0 \quad \text{if and only if} \quad b = 4c, \\ a + (n-2)b + \frac{(n-2)(n-3)}{2}c &= 0 \quad \text{if and only if} \quad a = 6c. \end{aligned}$$

Hence we may take that  $a = 6$ ,  $b = 4$ , and  $c = 1$ . But,

$$\begin{aligned} (n-2)a + \frac{(n-2)(n-3)}{2}b + \frac{(n-2)(n-3)(n-4)}{6}c \\ = (n-2) \cdot 6 + \frac{(n-2)(n-3)}{2} \cdot 4 + \frac{(n-2)(n-3)(n-4)}{6} \neq 0, \end{aligned}$$

which follows that there is no quadratic form  $Q$  such that

$$Q \cdot (x+y)^{n-2} = 0.$$

In other words,  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+2, \lambda_2, \lambda_3, \lambda_4)$$

with  $\lambda_3 \geq n-1$  and  $\lambda_4 \geq n-3$ . Note that there is no linear form  $L \neq x+y$  such that

$$L \cdot (x+y)^{n+1} = 0.$$

So the Jordan type  $J_{\ell,S}$  is of the form

$$J_{\ell,S} = (n+2, \lambda_2, \lambda_3, \lambda_4),$$

with  $\lambda_2 \geq n+2$ ,  $\lambda_3 \geq n-1$ , and  $\lambda_4 \geq n-3$ , i.e.,

$$\boxed{J_{\ell,S} = (n+2, n+2, n-1, n-3)}.$$

This completes the proof of Theorem 4.6.  $\square$

*Remark 4.7.* We found a general formula for characteristic  $p \geq 2m-3$ , but not for low characteristic  $p < 2m-3$ , which were discussed individually in Sections 3 and 4. It has been explored when  $S = \mathbb{k}[x, y]/(x^m, y^n)$  has the SLP using a different language ‘representation theory’ for  $m \leq n$  and  $m = 3, 4$  in [3]. As we mentioned in the introduction, there is a recursive formula how to find the Jordan type for  $S$  [10]. However, not much is known about the Jordan type of  $S = \mathbb{k}[x_1, \dots, x_r]/(x_1^{m_1}, \dots, x_r^{m_r})$  for  $r \geq 3$  over a field  $\mathbb{k}$  of a prime characteristic  $p$  smaller than the socle degree  $j = (\sum_i m_i) - r$ , except for the strong Lefschetz case treated in [2] and completed in [13].

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